

# The Hardest Context-free Language Revised

## Julia Stoll

Report A/2002/4

ISBN 951-781-264-7

# UNIVERSITY OF KUOPIO

## Department of Computer Science and Applied Mathematics

P.O.Box 1627, FIN-70211 Kuopio, FINLAND

(intentionally left blank)

### The Hardest Context-free Language Revised Julia Stoll julias@acm.org

#### Abstract

The Hardest Context-free Language  $L_0$  is one context-free language (cfl) from which any cfl may be obtained by an inverse homomorphism [Gre73]. One key of proving that the Hardest Context-free Language  $L_0$  exists is that every cfl L can be generated by a contextfree grammar (cfg) G in Greibach Normal form (GNF) so that L = L(G) [Gre65].

We present a new proof of the well-known result given in [Gre73] using the new method of transforming a cfg into 2-Greibach Normal form (2-GNF) in  $O(|G|^3)$  presented in [BK99]. Revising the proof of the existence of  $L_0$  enables us to predict the length of pairs of sentential forms. The result is that a pair of sentential forms  $s_k$  and  $s_{k+1}$ ,  $k \ge 1$ ,  $S := s_1$ , organized in blocks of " $x_i cy_i cz_i d$ ",  $1 \le i \le n$ , for generating  $L_0$  have an upper bound and a lower bound in their length (if  $\lambda \notin L$ ); where  $|s_k|$  and  $|s_{k+1}|$  are the length of a pair of sentential forms, then it holds  $|s_k| \le |s_{k+1}| \le |s_k| + 2$ .

Classification: F.4.3

## **1** Introduction

We present a new proof of the well-known result given in [Gre73]. One key of proving that the Hardest Context-free Language exists is that every cfl *L* can be generated by a cfg *G* into GNF so that L = L(G) [Gre65]. A new method for transforming a cfg into 2-GNF is presented in [KB97, BK99]. The transformation method produces a 2-GNF in  $O(|G|^3)$ . Whereas the length of the righthand sides of a rule in set of rules *P* were unknown in the 'classical GNF' [Gre65], we know that these are restricted by transforming a cfg into 2-GNF. Of course, this fact has an impact on the construction of the Hardest Context-free Language. A pair of sentential forms  $s_k$  and  $s_{k+1}$  organized in blocks " $x_i cy_i cz_i d$ ",  $k \ge 1$ ,  $S := s_1$ , for generating  $L_0$  have an upper bound and a lower bound in their length. If  $|s_k|$ and  $|s_{k+1}|$  are the length of a pair of sentential forms, then it holds  $|s_k| \le |s_{k+1}| \le |s_k| + 2$ .

In Sec. 2 we give the basic notations, definitions and well-known results. Different kind of GNF's are defined in Sec. 2.1. Semi-Dyck languages and their properties are introduced in Sec. 2.2. The definition of the Hardest Context-free Languages is given in Sec. 2.3. The new proof based on a 2-GNF is given in Sec. 3. Observations and properties derivated by the new proof are listed in Sec. 4. In Subsec. 4.2 our main result, Theorem 4.1, follows the Corollaries 4.1 and 4.2, as presented in Sec. 4.

## 2 Preliminaries

Let G = (N, T, P, S) be a Chomsky-grammar, where N is a finite, non-empty alphabet of nonterminals, T is a finite, non-empty alphabet of terminals, P is a set of rules (or productions), and  $S \in N$  is a start symbol. The G is context-free, iff all rules  $r \in P$  are of the form  $r : p \to q$ ,  $p \in N$  (|p| = 1), and  $q \in (N \cup T)^*$ . Let G = (N, T, P, S) be a cfg and let  $u', v' \in (N \cup T)^*$ . It is said that u' directly derives v', written  $u' \Rightarrow v'$ , if there exists  $u_1, u_2, v \in (N \cup T)^*$  and  $A \in N$ , such that  $u' = u_1Au_2, v' = u_1vu_2$  and  $A \to v \in P$ . We write  $\Rightarrow^*$  for the reflexive and transitive closure of  $\Rightarrow$ . The language generated by a grammar G is defined by  $L(G) = \{w \in T^* | S \Rightarrow^* w\}$ . A language L, generated by a cfg with L = L(G), is called a context-free language (cfl) [Cho59, Sal73, Har78]. If L is a clf, then  $init(L) = \{u|uv \in L \text{ for some } T^*\}$ . If  $w \in L(G)$ , then the sequence  $S \Rightarrow s_2 \Rightarrow \cdots \Rightarrow s_i \Rightarrow \cdots \Rightarrow s_n = w, 1 \le i \le n$ , is a derivation of the word w and the  $s_i$ 's are called sentential forms, where S is also a sentential form indexed by 1. We define a norm ||G|| on rules of a cfg G = (N, T, P, S). Let ||G|| be the longest righthand side of all rules r in P so that the norm is defined as  $||G|| := \{max(q) | p \to q, p \in N(|p| = 1), and q \in (N \cup T)^*$  for all  $r \in P\}$ .

### 2.1 Greibach Normal forms

A cfg G = (N, T, P, S) is in Greibach Normal form (GNF), iff it contains only rules of the form  $A \to a\alpha$ , where  $a \in T$  and  $\alpha \in (N \cup T)^+ \setminus \{S\}$ . If  $\lambda \in L$ , then it is  $S \to \lambda$  in *P*. Every cfl *L* may be generated by a cfg *G* in GNF so that L = L(G) [Gre65, Har78].

**Definition 2.1.** A context-free grammar G = (N, T, P, S) is in 2-Greibach Normalform (2-GNF), iff the set P contains only rules of the form

$$\begin{array}{rcccc} A & \to & a & a \in T, \\ A & \to & aB_1 & a \in T, B_1 \in N \setminus \{S\}, \\ A & \to & aB_1B_2 & a \in T, B_1, B_2 \in N \setminus \{S\}, and \\ S & \to & \lambda. \end{array}$$

Using N. Blum's and R. Koch's transformation method presented in [KB97, BK99], we know that every cfg G can be transformed in  $O(|G|^3)$  into a 2-GNF G' so that L(G) = L(G') holds. A number of parsing properties of G' have been studied by [Sto98].

#### 2.2 Semi-Dyck Languages

The following definitions and notations are taken from [Har78], if not otherwise indicated.

An algebraic characterisation of any cfl is obtained on the semi-Dyck set, which is a particular deterministic context-free language<sup>1</sup> (dcfl). The terminal alphabet of  $D_r$ , the semi-Dyck set on *r*-letters, is  $(T_r \cup \overline{T_r})$ , where  $T_r = \{a_1, \ldots, a_r\}$  and  $\overline{T_r} = \{\overline{a_i} | a_i \in T_r\}$ . We define  $T = (T_r \cup \overline{T_r})$  and consider  $T^*$  which is a free (word-)monoid with  $\lambda$  as an empty word. We may think of  $a_i$ 's,  $1 \le i \le r$ , as different kinds of left parenthesis, and  $\overline{a_i}$ 's,  $1 \le i \le r$ , as their corresponding right parenthesis.

Relations on  $T^*$  can be defined using  $\rho_0 = \{(a_i \overline{a_i}, \lambda) | a_i \in T_r\} \cup \{(\lambda, a_i \overline{a_i}) | a_i \in T_r\}$ . Let  $\cong$  be the two-sided congruence relation that contains  $\rho_0$ . Not only is  $\cong$  an equivalence relation on  $T^*$  but  $xa_i\overline{a_i}y \cong xy$  for all  $i, 1 \le i \le r$ , and all  $x, y \in T^*$ . Inductively, if  $x, y \in T^*$ , then  $x \cong y$ , iff x can be obtained from y by introducing or cancelling adjacent pairs  $a_i\overline{a_i}$  or vice versa. A word  $w \in T^*$  is reduced for  $D_r$ , if w contains no consecutive pairs  $a_i\overline{a_i}$  for any  $i, 1 \le i \le r$ . Given any word over  $T^*$ , we may reduce it by cancelling consecutive pairs. The process of cancelling consecutive pairs can be defined in the following way

**Definition 2.2.** Let be  $T = (T_r \cup \overline{T_r})$  for some  $r \ge 1$ . Define a mapping  $\mu : T^* \to T^*$  as follows

 $\mu(\lambda) = \lambda,$   $\mu(xa_i) = \mu(x)a_i \text{ for each } i, 1 \le i \le r,$  $\mu(x\overline{a_i}) = \begin{cases} \mu(x)\overline{a_i} & \text{if } \mu(x) \notin T^*a_i, & 1 \le i \le r, \\ x' & \text{if } \mu(x) = x'a_i. \end{cases}$ 

The function  $\mu$  is helpful in dealing with and proving properties of a semi-Dyck set in general. The following properties of  $\mu$  are inherent. A proof of such listed properties is also given in [Har78]. Let be  $T = T_r \cup \overline{T_r}$  for some  $r \ge 1$  and let be  $u, v, w, x, y \in T^*$ .

<sup>&</sup>lt;sup>1</sup>The deterministic context-free languages are characterized by deterministic pushdown automata which accept them [Sal73, Har78].

- (a)  $\mu(xa_i\overline{a_i}) = \mu(x)$  for any  $i, 1 \le i \le r$ .
- (b)  $\mu(w)$  is reduced.
- (c)  $\mu(w) \cong w$ .
- (d) If *u* is reduced,  $\mu(u) = u$ .
- (e)  $\mu(\mu(w)) = \mu(w)$ .
- (f)  $\mu(xy) = \mu(\mu(x)y).$
- (g)  $\mu(xy) = \mu(\mu(x)\mu(y)).$
- (h) If  $\mu(x) = \mu(y)$ , then  $\mu(uxv) = \mu(uyv)$ .
- (i)  $\mu(xa_i\overline{a_i}y) = \mu(xy)$  for any  $i, 1 \le i \le r$ .
- (j)  $\mu(x) = \mu(y)$ , iff  $x \cong y$ .
- (k) Let be  $w \in T^*$ . If  $y \in T^*$ , y is reduced and  $y \cong w$ , then  $y = \mu(w)$ .

For generating a semi-Dyck set, we can define a cfg. Let  $r \ge 1$  and define  $G_r = (N_r, T, P, S)$ , where  $T = T_r \cup \overline{T_r}$ ,  $N_r = \{S\}$ , and the set of rules P is of the form

$$\begin{array}{rccc} S & \to & Sa_iS\overline{a_i}S\\ S & \to & \lambda \end{array}$$

for each *i*,  $1 \le i \le r$ . Now, the semi-Dyck set is generated by  $D_r = L(G_r)$  and the language  $D_r$  is defined by  $D_r = \{w \in T^* | \mu(w) = \lambda\}$ .  $D_r$  is a dcfl. Therefore  $D_r$  is unambiguous.

Some characteristics of the mapping  $\mu: T^* \to T^*$  can be overtaken to  $D_r$ . We list them here. Check the proofs as they are presented in [Har78]. Let be  $D_r$  a semi-Dyck set.

- (a) If  $x, y \in D_r$ , then  $xy \in D_r$ .
- (b) If  $x \in D_r$ , then  $a_i x \overline{a_i} \in D_r$  for all  $i, 1 \le i \le r$ .
- (c) For each word  $x \in D_r$ , either  $x = \lambda$  or  $x = a_i y \overline{a_i} z$  for some  $i, 1 \le i \le r$ , and some  $y, z \in D_r$ .
- (d) If  $a_i \overline{a_i} z \in D_r$ , then  $z \in D_r$ .
- (e) If  $yz \in D_r$  and  $y \in D_r$ , then  $z \in D_r$ .
- (f)  $x \in init(D_r) = \{w \in T^* | wy \in D_r \text{ for some } y \in T^*\}, \text{ iff } \mu(x) \in T_r^*.$

Cfl's can be characterized in terms of  $D_r$ .

#### 2.3 The Hardest Context-free Language

There is one cfl  $L_0$  from which any cfl L may be obtained by an inverse homomorphism. That is, for  $L \in T^*$ , there exists a homomorphism  $\phi$  so that  $L = \phi^{-1}(L_0)$ . Any cfl can be parsed in whatever time or space it takes to recognize  $L_0$ . The definition of  $L_0$  is based on the semi-Dyck set. Do not choose  $L_0 = D_2$ , where  $D_2$  is deterministic and hence unambiguous. For any homomorphism  $\phi$ ,  $\phi^{-1}D_2$  is unambiguous, and the generated language is not inherently ambiguous, see Sec. 2.2. We have to choose the nondeterministic version of  $D_2$  for generating  $L_0$  in the following way<sup>2</sup>

**Definition 2.3.** Let  $T = \{a_1, a_2, \overline{a_1}, \overline{a_2}, \not c\}$ . Define

$$L_0 = \{\lambda\} \cup \{x_1 c y_1 c z_1 d \dots x_n c y_n c z_n d | n \ge 1, \quad y_1 \dots y_n \in \not CD_2, x_i, z_i \in T^* \text{ for all } i, 1 \le i \le n, \\ y_i \in a_1, a_2, \overline{a_1}, \overline{a_2}\}^* \text{ for all } i \ge 2\}$$

<sup>&</sup>lt;sup>2</sup>This is one of the most important means for coding all cfl using  $L_0$ .

Note, that the  $x_i$ 's and  $z_i$ 's can contain *c*'s and  $\not c$ 's.

The following theorem is well-known [Gre73]. We use the presentation as given in [Har78].

**Theorem 2.1.** If *L* is a cfl, there is a homomorphism  $\phi$  so that  $L = \phi^{-1}(L_0)$  if  $\lambda \in L$  and  $L = \phi^{-1}(L_0 \setminus \{\lambda\})$  if  $\lambda \notin L$ .

## **3** The Hardest Context-free Language Revised

A key for proving the theorem 2.1 is that there is the transformation of a cfg into GNF [Gre65, Gre73]. A new method for transforming a cfg *G* into 2-GNF *G'* in  $O(|G|^3)$  has been published in [KB97, BK99]. We will renew the proof using the properties of a cfg in 2-GNF. Of course, this does not lead to a new theorem, but enables us to enlist some new properties for parsing cfl.

#### **3.1** A New Proof for Theorem 2.1

Let be  $L \subseteq T^*$  and  $L = \phi^{-1}(L_0)$ , where  $\phi^{-1}$  is a well-defined inverse homomorphism as in Sec. 2.3. The correctness of the Theorem 2.1 is proved in the following.

Let  $\Rightarrow_{lm}$  be a relation – called leftmost derivation – defined by  $yA\alpha \Rightarrow_{lm} yw\alpha$ , where  $A \rightarrow w$  is a production in  $P, \alpha, w \in (N \cup T)^*$  and  $y \in T^*$ . Let  $\gamma_1, \gamma_2 \in (N \cup T)^*$  be strings, then  $\gamma_1 = yA\alpha$  derives (directly) leftmost in  $\gamma_2 = yw\alpha$  in G using  $A \rightarrow w \in P$  and it is denotated as  $\gamma_1 \Rightarrow_{lm} \gamma_2$ . We write  $\Rightarrow_{lm}^*$  for the reflexive transitive closure of  $\Rightarrow_{lm}$ .

Let us start the new proof.

**Proof** There is still no loss of generality, if we consider that  $\lambda \notin L$  (if  $\lambda \in L$ , then it holds  $L = \phi^{-1}(L_0)$ ). We assume, again without the loss of generality, that L = L(G), where G = (N, T, P, S) is in 2-GNF, see Def. 2.1. Thus every rule  $r_i$  in P is of the form (if  $\lambda \notin L$ )

(i) 
$$A \to aB_1B_2$$
  $A \in N, a \in T$ , and  $B_1, B_2 \in N \setminus \{S\}$   
(ii)  $A \to aB_1$   $A \in N, a \in T$ , and  $B_1 \in \setminus \{S\}$   
(iii)  $A \to a$   $A \in N$ , and  $a \in T$ 

Let us index the set of nonterminals *N* as  $\{A_1, \ldots, A_n\}$ , where  $A_1 = S$ .

The idea of the construction is to encode rules of the given form of 2-GNF. The encoding includes only nonterminals, not terminals, because a nonterminal would allow to generate a new subtree. Thus, when  $\phi$  is defined on a terminal *a*, it will encode all possible productions whose righthand side starts with *a*. Now, we have to define *three mappings*<sup>3</sup> from *P* into *T*<sup>\*</sup>.

(i)	If $r_k$ is	$A_i \to a_i A_{i+1} A_{i+2}$	then $\overline{\tau}r_k = \overline{a_1}a_2^i\overline{a_1}a_1a_2^{i+1}a_1a_1a_2^{i+2}a_1$ .
		$A_i \rightarrow a_i A_{i+1}$	then $\overline{\tau}r_k = \overline{a_1}a_2^i\overline{a_1}a_1a_2^{i+1}a_1$ .
(iii)	If $r_k$ is	$A_i \rightarrow a_i$	then $\overline{\tau}r_k = \overline{a_1}a_2^i\overline{a_1}$ .

<sup>3</sup>instead of two mappings in former times for rules of the form  $A \to a\alpha$ , where  $A \in N$ ,  $a \in T$  and  $\alpha \in (N \setminus \{S\})^*$  [Gre65, Har78], because we have three types of rules as given above.

To define  $\tau$ , we recall that *i* is the index of the lefthand side and

$$\frac{if}{i} \stackrel{i \neq 1}{=} 1 \frac{then}{else} \quad \tau r_k = \overline{\tau} r_k$$
$$\frac{else}{i} \quad \tau r_k = \not c a_1 a_2 a_1 \overline{\tau} r_k$$

Since  $\tau$  and  $\overline{\tau}$  encode only the nonterminals in the rules and not the terminal, we let  $P' = \{r_{p_1}, \dots, r_{p_m}\}$  be the set of all rules whose righthand side begins with  $a \in T$ .

We define the homomorphism  $\phi$  by

$$\phi a = \underline{if}P' \neq \emptyset \quad \underline{then} \quad c\tau(r_{p_1}) \dots c\tau(r_{p_m})cd$$
$$\underline{else} \quad \not e \not e.$$

The following rewritten claim is the key of the proof and gives the exact correspondence between the derivations in G and the structure of  $L_0$ .

**Claim** [= Induction hypothesis] For each  $b_1 \dots b_k \in T_i, A_{i_1}, \dots, A_{i_r} \in N \setminus \{S\}$ , we have that

$$S \Rightarrow_{lm}^* b_1 \dots b_k A_{i_1} \dots A$$

under a sequence of rules  $r_{p_1}, \dots, r_{p_k}$ , iff there exist  $x_i, y_i, z_i, 1 \le i \le k$  such that

- (i)  $\phi(b_1 \dots b_k) = x_1 c y_1 c z_1 d \dots x_k c y_k c z_k d$
- (ii)  $y_1 \dots y_k \in init(\not cD_2) = \{x | xw \in \not cD_2 \text{ for some } w \in T^*\}$
- (iii)  $\mu(y_1 \dots y_k) = \not c a_1 a_2^{i_r} a_1 \dots a_1 a_2^{i_1} a_1$ , where for each w,  $\mu(w)$  is a unique reduction word that can be obtained from cancellation as it is given in Sec. 2.2.

It is enough to prove this Claim for showing the Theorem, because (ii) and (iii) hold, iff  $y_1 \dots y_k \in \not cD_2$ ; we have that  $w \in L$ , iff there exists  $x_i, y_i, z_i, 1 \le i \le k$  such that

(i) 
$$\phi w = x_1 c y_1 c z_1 d \dots x_k c y_k c z_k d$$
,  
(ii)  $y_1 \dots y_k \in \not CD_2$ , and  
(iii)  $y_1 = r_{p_i} \in T_2^*$  for  $i \ge 2$ ,

which only holds if  $w \in L_0 \setminus \{\lambda\}$ .

Note, that using 2-GNF such rules are only of the form  $A_i \rightarrow aB_1B_2$  or  $A_i \rightarrow aB_1$  as it is defined in Def. 2.1. The righthand sides of such rules are limited so that we get a new implication for the rules so that they are indexed by  $A_i \rightarrow a_iA_{i+1}A_{i+2}$  or  $A_i \rightarrow a_iA_{i+1}$ . This means that we can (re)use the index *i* for describing a corresponce in the next substitutions of the derivation process.

We prove the claim by induction on *k*.

**Induction basis** k = 1. We have to consider three cases dependently on the form of the rule in 2-GNF.

**Case 1** The derivation is  $A_1 \Rightarrow a$ , where this rule is  $r_{p_i}$ .

Then applying  $\tau$  to this rule gives  $\tau r_{p_i} = \not c a_1 a_2 a_1 \overline{a_1 a_2 a_1}$ . If  $P' = \{r_{p_1}, \dots, r_{p_m}\}$ , we have  $\phi a = c \tau(r_{p_1}) \dots c \tau(r_{p_m}) cd = x_1 cy_1 cz_1 d$ . If we let  $y_1 = \tau(r_{p_i})$  then  $\mu(y_1) = \not c$  and so  $y_1 \in init(\not cD)$ , and all properties of the claim are fullfilled. Conversely, if each part of the claim is satisfied, there must be a derivation  $A_1 \Rightarrow a$  and the Case 1 is verified.

- **Case 2** The derivation is  $A_1 \Rightarrow aA_{1+1} = aA_2$ , where  $A_2 \in N \setminus \{A_1\}$ , and the rule is  $r_{p_i}$ . By definition  $\tau r_{p_i} = \not c a_1 a_2 a_1 \overline{a_1 a_2 a_1} a_1 a_2^i a_1$ . If  $P' = \{r_{p_1}, \dots, r_{p_m}\}$  then  $\phi a = c \tau(r_{p_1}) \dots c \tau(r_{p_m}) cd = x_1 cy_1 z_1 d$  satisfying property (i). As in (i), we let  $y_1 = \tau(r_{p_i})$ . Then  $\mu(y_1) = \not c a_1 a_2^i a_1$  satisfying property (iii). Surely, it holds  $y_1 \in init(\not cD)$ . Conversely, if each part of the claim is fullfilled, there must be a derivation  $A_1 \Rightarrow aA_2$ .
- **Case 3** The derivation is  $A_1 \Rightarrow aA_{1+1}A_{1+2} = aA_2A_3$ , where  $A_2, A_3 \in N \setminus \{A_1\}$ , and the rule is  $r_{p_i}$ . By definition  $\tau r_{p_i} = \not c a_1 a_2 a_1 \overline{a_1 a_2 a_1} a_1 a_2^{i} a_1 a_1 a_2^{i+1} a_1$ . If  $P' = \{r_{p_1} \dots r_{p_m}\}$ , then  $\phi a = c\tau(r_{p_1}) \dots c\tau(r_{p_m})cd = x_1 cy_1 cz_1 d$  satisfying property (i) of the claim. As required in (i), we let  $y_1 = \tau(r_{p_i})$ . Then  $\mu(y_1) = \not c a_1 a_2^i a_1 a_1 a_2^{i+1} a_1$  satisfying property (i). Conversely,  $y_1 \in init(\not cD)$ , satisfying property (ii). And if each part of the Claim is satisfied, there must be a derivation  $A_1 \Rightarrow aA_2A_3$ .
- **Induction step** (one direction of the proof) Assume the result for  $k \ge 1$ . Suppose we have  $S \Rightarrow_{lm}^* b_1 \dots b_k A_{i_1} \dots A_{i_r}$  and let  $\phi(b_1 \dots b_k) = x_1 c y_1 c z_1 d \dots x_k c y_k c z_k d$ , where  $\mu(y_1 \dots y_k) = c a_1 a_1^{i_r} a_1 \dots a_1 a_2^{i_1} a_1$ .
  - **Case 1** Firstly, we check substitution only in terminals  $b_{k+1}$ . Let  $y_{k+1} = \tau(r_p) = \overline{a_1 a_2^{i_1}} \overline{a_1}$  so that  $r_p$  corresponds to  $A_{i_1} \to b_{k+1}$ . Then it holds  $\phi(b_{k+1}) = x_{k+1} cy_{k+1} cz_{k+1} d$ . We compute stepwise  $\mu(y_1 \dots y_k y_{k+1}) = \mu(y_1 \dots y_k) \mu(y_{k+1})$ , see Sec. 2.2. So it is  $\mu(y_1 \dots y_k) \mu(y_{k+1}) = \mu(a_1 a_2^{i_1} a_1 \dots a_1 a_2^{i_2} a_1 \overline{a_1} \overline{a_1}) = ta_1 a_2^{i_2} \dots a_1 a_2^{i_2} a_1$ , while  $S \Rightarrow_{lm}^* b_1 \dots b_k b_{k+1} A_{i_2} \dots A_{i_r}$ , where  $A_{i_1} \to b_{k+1}$ .
  - **Case 2** Consider rules of the form  $A_i \to b_i A_{i+1}$ . Let  $y_{k+1} = \tau(r_p) = \overline{a_1 a_2^{i_1} a_1} a_1 a_2^{i_{1+1}} a_1$ so that  $r_p$  corresponds to  $A_{i_1} \to b_{k+1} A_{i_{1+1}}$ . Therefore is  $\phi(b_{k+1}) = x_{k+1} cy_{k+1} cz_{k+1} d$ . Apply  $\mu(y_1 \dots y_k y_{k+1}) = \mu(y_1 \dots y_k) \mu(y_{k+1})$ , cmp. Sec. 2.2, to  $\mu(y_1 \dots y_k) \mu(y_{k+1}) = \mu(a_1 a_2^{i_1} a_1 \dots a_1 a_2^{i_1} a_1 \overline{a_1} a_2^{i_1} \overline{a_1} a_1 a_2^{i_{1+1}} a_1) = \not a_1 a_2^{i_2} a_1 \dots a_1 a_2^{i_2} a_1$ , while  $S \Rightarrow_{lm}^* b_1 \dots b_k b_{k+1} A_{i_2} \dots A_{i_r}$ , where  $A_{i_1} \to b_{k+1} A_{i_2}$ .
  - **Case 3** Now, we consider rules of the form  $A_i \to b_i A_{i+1} A_{i+2}$ . Let  $y_{k+1} = \tau(r_p) = \overline{a_1} \overline{a_2^{i_1}} \overline{a_1} a_1 a_2^{i_1+1} a_1 a_1 a_2^{i_1+2} a_1$  so that  $r_p$  corresponds to  $A_{i_1} \to b_{k+1} A_{i_1+1} A_{i_1+2}$ . Reasonably, it is  $\phi(b_{k+1}) = x_{k+1} cy_{k+1} cz_{k+1} d$ . By computing  $\mu(y_1 \dots y_k y_{k+1}) = \mu(y_1 \dots y_k) \mu(y_k)$ , Sec. 2.2, we receive  $\mu(y_1 \dots y_k) \mu(y_{k+1}) =$   $\mu(a_1 a_2^{i_1} a_1 \dots a_1 a_2^{i_1} a_1 \overline{a_1} \overline{a_2^{i_1}} \overline{a_1} a_1 a_2^{i_{1+1}} a_1 a_1 a_2^{i_2} a_1) = fa_1 a_2^{i_2} a_1 \dots a_1 a_2^{i_2} a_1 a_1 a_2^{i_3} a_1$ , while  $S \Rightarrow_{lm}^* b_1 \dots b_k b_{k+1} A_{i_2} \dots A_{i_r}$ , where  $A_{i_1} \to b_{k+1} A_{i_2} A_{i_3}$ .

On the other hand it is defined  $\phi(b_1...b_k) = x_1 c y_1 c z_1 d ... x_{k+1} c y_{k+1} c z_{k+1} d$  and it holds  $\mu(y_1...y_{k+1}) \in \not{c} \{a_1, a_2\}^*$ . By the construction of  $\phi$  the only way in the Cases 1 to 3 for this to happen if it is  $\mu(y_1...y_k) = \not{c} a_1 a_2^{i_r} ... a_1 a_2^{i_1} a_1$  and if we check the following property for the three cases independently.

**Case 1** It holds  $\mu(y_{k+1}) = \tau(r_p) = \overline{a_1} \overline{a_2^s} \overline{a_1}$ , where  $r_p$  must be a rule  $A_s \to b_{k+1}$ .

- **Case 2** In this case it is true that  $\mu(y_{k+1}) = \tau(r_p) = \overline{a_1} \overline{a_2^s} \overline{a_1} a_1 a_1^{k+1+1} a_1 = \overline{a_1} \overline{a_2^s} \overline{a_1} a_1^{k+2}$ , where  $r_p$  must be a rule  $A_s \to b_{k+1} A_{k+2}$ .
- Case 3 We can construct

$$\mu(y_{k+1}) = \tau(r_p) = \overline{a_1} \overline{a_2^s} \overline{a_1} a_1 a_1^{k+1+1} a_1 a_1 a_1^{k+1+2} a_1 = \overline{a_1} \overline{a_2^s} \overline{a_1} a_1 a_1^{k+2} a_1 a_1 a_1^{k+3},$$
  
where  $r_p$  must be a rule  $A_s \to b_{k+1} A_{k+2} A_{k+3}$ .

The following fact is correct for all studied cases. But since  $\mu(y_1 \dots y_{k+1}) \in \mathscr{A}\{a_1, a_2\}^*$ , there must be some cancellation between  $\mu(y_1 \dots y_k)$  and  $\mu(y_{k+1})$  that is  $s = i_1$ .

By induction hypothesis  $S \Rightarrow_{lm}^* b_1 \dots b_k A_{i_1} \dots A_{i_r}$  and by using the properties of semi-Dyck sets – as they are introduced in Sec. 2.2 – we have

in Case 1  $S \Rightarrow_{lm}^* b_1 \dots b_k b_{k+1} A_{i_2} \dots A_{i_r}$ , in Case 2  $S \Rightarrow_{lm}^* b_1 \dots b_k b_{k+1} A_{k+2} A_{i_2} \dots A_{i_r}$ , and in Case 3  $S \Rightarrow_{lm}^* b_1 \dots b_k b_{k+1} A_{k+2} A_{k+3} A_{i_2} \dots A_{i_r}$ .

Therefore the induction has been extended.

## 4 Observations and a New Theorem

In this Sec. 4 we study the implications inherent of the new proof. We list some observations before formalizing these in Cor.'s 4.1 and 4.2. Our main result, Theorem 4.1, follows in Subsec. 4.2.

#### 4.1 Our List of Observations

- **Obervation 1** Note, that using 2-GNF such rules are only of the form  $A \rightarrow aB_1B_2$  or  $A \rightarrow aB_1$  as it is defined in Def. 2.1. The righthand sides of such rules are limited so that we get a new implication for the rules so that the corresponding productions are indexed by  $A_i \rightarrow a_iA_{i+1}A_{i+2}$  or  $A_i \rightarrow a_iA_{i+1}$ . That means that we can (re)use the index *i* for describing a corresponce in the next substitutions of the derivation process. Additionally, we get an information about the width of the next possible subtree. It is also limited by maximal  $|a_iA_{i+1}A_{i+2}|$  so that it is ||G|| = 3.
- **Observation 2** In the induction step we studied  $S \Rightarrow_{lm}^* b_1 \dots b_k A_{i_1} \dots A_{i_r}$ . The length of sentential forms are limited, see Observation 1. If  $s_k$  is the sentential form  $b_1 \dots b_k A_{i_1} \dots A_{i_r}$ , we receive the studied three cases again. We get a new interesting detail.
  - **Case 1** is  $b_1 \dots b_k A_{i_1} \dots A_{i_r} \implies b_1 \dots b_k b_{k+1} A_{k+2} A_{i_2} \dots A_{i_r}$ , where  $A_{k+1} \rightarrow b_{k+1} A_{k+2} A_{k+3}$ .
  - **Case 2** is  $b_1 \dots b_k A_{i_1} \dots A_{i_r} \implies b_1 \dots b_k b_{k+1} A_{k+2} A_{i_2} \dots A_{i_r}$ , where  $A_{k+1} \rightarrow b_{k+1} A_{k+2}$ .

**Case 3** is  $b_1 \dots b_k A_{i_1} \dots A_{i_r} \Rightarrow_{lm} b_1 \dots b_k b_{k+1} A_{i+2} \dots A_{i^r}$ , where  $A_{k+1} \rightarrow b_{k+1}$ .

so that the length of the next sentential forms are

- in Case 1  $|s_k| = k + r$  and  $|s_{k+1}| = k + 1 + r 1 + 2 = k + r + 2$  and we receive the equation  $|s_k| = |s_{k+1}| + 2$ ;
- in Case 2  $|s_k| = k + r$  and  $|s_{k+1}| = k + 1 + r 1 + 1 = k + r + 1$  and it holds  $|s_k| = |s_{k+1}| + 1$ ; and
- in Case 3  $|s_k| = k + r$  and  $|s_{k+1}| = k + 1 + r 1 = k + r$  and it is  $|s_k| = |s_{k+1}|$ .

- **Observation 3** If we check the worst case of a longest (sub)sentential form using only rules of the form  $A_i$  $\rightarrow$  $a_i A_{i+1} A_{i+2}$ , we receive  $A_i \Rightarrow_{lm}^* a_i a_{i+1} \dots a_{i+k} A_{i+k+1} A_{i+k+2} A_{i+k-1} \dots A_{i+2} := \alpha_{i+k+1}$ . This means that the generated word can not be shorter than  $|w| \ge i + 2k - 1$ , where  $k, k \ge 1$ . Note, that k corresponds to the actual position in the recognized (sub-)word so that k divides the word in the generated part of terminals  $a_i a_{i+1} a_{i+2} \dots a_{i+k}$  and its unprocessed part of nonterminals  $A_{i+k+1}A_{i+k+2}A_{i+k-1}\dots A_{i+2}$ . The length of the (sub)word is i+k. The unprocessed part has the length k - 1.
- **Observation 4** For generating a terminal string of the length  $i, i \ge 1$ , over a cfg in 2-GNF, we need at least *i* nonterminals, because each rule in *P* starts with a terminal  $a \in T$  (if  $\lambda \notin L(G)$ ). So if we look at a (sub)sentential form that is produced by applying only rules of the form  $A_i \rightarrow aA_{i+1}$ , we get  $A_i \Rightarrow_{im}^* a_i a_{i+1} a_{i+2} \dots a_{i+k} A_{i+k+1} := \alpha_{i+k+1}$ . There is a lower bound of a length of a new (sub)word that is still to process. This bound is i + k + 1 (if it is  $\lambda \notin L(G)$ ).
- **Observation 5** Combining the observations 3 and 4, as given above, we consider that a sequence of derivations with containing nonterminals  $\alpha_{i+k+1}$  is bounded. The size of  $|\alpha_{i+k}|$  is  $i+k+1 \le |\alpha_{i+k+1}| \le i+2k-1$  for each  $i, 1 \le i \le n, 1 \le k \le n$ , and  $i \le k$ .
- **Observation 6** If  $i + k + 1 \le |\alpha_{i+k}| \le i + 2k 1$  then we can obtain that *i* terminals are already generated, see also Observations 3 and 4. So it holds  $k + 1 \le |\alpha_{k+1}| \le 2k 1$ . We consider two new bounds (for k + 1 1 = k). It is  $k \le |\alpha_k| \le 2(k 1) 1 = 2k 3$  for  $k \ge 2$ .

The following Cor.'s 4.1 and 4.2 are directly derivated form the listed observations above.

**Corollary 4.1.** Let  $L_0$  be the Hardest Context-free Language as defined in Def. 2.3 and L a cfl generated by a cfg G = (N, T, P, S) in 2-GNF so that L = L(G). By encoding G in  $L_0$  the length of a pair of sentential forms  $|s_k|$  and  $|s_{k+1}| \ k \ge 1$ , for generating each block " $x_i cy_i cz_i d$ ",  $1 \le i \le n$ , has an upper bound  $|s_{k+1}| \le |s_k| + 2$ , where  $S := s_1$  and |S| = 1.

**Proof** The statement follows by Observations 2, 3 and 6 as given above.

**Corollary 4.2.** Let  $L_0$  be the Hardest Context-free Language as defined in Def. 2.3 and L $(\lambda \notin L)$  a cfl generated by a cfg G = (N, T, P, S) in 2-GNF so that L = L(G). By encoding G in  $L_0$  the length of a pair of sentential forms  $|s_k|$  and  $|s_{k+1}|$   $k \ge 1$ , for generating each block " $x_i cy_i cz_i d$ ",  $1 \le i \le n$ , has a lower bound  $|s_k| \le |s_{k+1}|$ , where  $S := s_1$  and |S| = 1.

**Proof** The statement follows by Observations 2, 4 and 6 as presented above.

#### 4.2 Theorem

**Theorem 4.1.** Let  $L_0$  be the Hardest Context-free Language as defined in Def. 2.3 and L ( $\lambda \notin L$ ) a cfl generated by a cfg G = (N, T, P, S) in 2-GNF so that L = L(G). By encoding Gin  $L_0$  the length of a pair of sentential forms  $|s_k|$  and  $|s_{k+1}| k \ge 1$ , for generating each block " $x_i cy_i cz_i d$ ",  $1 \le i \le n$ , is bounded by  $|s_k| \le |s_{k+1}| \le |s_k| + 2$ , where  $S := s_1$  and |S| = 1.

## 5 Conclusion

We present a new proof of the existence of the Hardest Context-free Language  $L_0$  in Sec. 3. This given proof is inherent in that the length of a pair of sentential forms  $|s_k|$  and  $|s_{k+1}|$   $k \ge 1$ , for generating each block " $x_i c y_i c z_i d$ ",  $1 \le i \le n$ , is bounded by  $|s_k| \le |s_{k+1}| \le |s_k| + 2$ , where  $S := s_1$  and |S| = 1, see Theorem 4.1.

Observe that some other well-known results could be influenced. It is known that a cfg in GNF guarantees that each nonterminal, which generates a terminal string, cannot generate a terminal word of the length less than once. This observation is inherent of any definition of a GNF comparing the traditional GNF transformation [Gre65] or the new method for transforming a cfg in GNF [KB97, BK99] and implies that we can prune any node whose sentential form has the length greater than the given input string w. This fact includes the connection in between the recognition and the parsing of a word w generated over a given cfg G [Woo87]. We will therefore study the impact of Theorem 4.1 on recognizing and parsing of a word generated over a given cfg G.

## References

- [BK99] Norbert Blum and Robert Koch. Greibach Normal Form Transformation Revisited. *Information and Computation*, 150(1):112–118, 1999.
- [Cho59] Noam Chomsky. On Certain Formal Properties of Grammars. *Information and Control*, 2, 1959.
- [Gre65] Sheila A. Greibach. A New Normal-Form Theorem for Context-free Phrase Structure Grammars. *JACM*, 12:42–52, January 1965.
- [Gre73] Sheila A. Greibach. The hardest context-free language. SIAM Journal of Computing, 2:304–310, 1973.
- [Har78] Michael A. Harrison. Introduction to Formal Language Theory. Addison Wesley, Reading, Massachusetts, 1978.
- [KB97] Robert Koch and Norbert Blum. Greibach Normal Form Transformation, Revisited. STACS 1997, pages 47–54, 1997.
- [Sal73] Arto Salomaa. Formal Languages. Academic Press, New York, 1973.
- [Sto98] Julia Stoll. Parsing-Eigenschaften effizient zu ermittelnder Greibach Normalformen. Diplomarbeit, Technische Universität Darmstadt, Fachbereich Informatik, Institut für Theoretische Informatik, Fachgebiet Automatentheorie und Formale Sprachen, 1998.
- [Woo87] Derick Wood. Theory of Computation. John Wiley & Sons, 1987.